



## The *Capitalistic* versus *Militaristic* Debate: Paradigm Uniformity Revisited

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### ABSTRACT

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The present study examined the well-known data in which the onset of the third syllable is flapped in *capitalistic* whereas it is aspirated in *militaristic* even though both words have the same stress pattern and syllable structure. While a number of studies have considered several different approaches in this discussion including paradigm uniformity effect, foot-based analyses, optimality theoretic (OT) accounts, and analogy, there has been no research that seeks to account for the possibility that the underlying /t/'s of those two words can be realized as both aspirated and flapped by speakers of American English. This study basically follows a prosodic foot-based account to explain this phenomenon and attempts to capture the variant realizations using the audio pronunciation listed in eleven different online dictionaries within the Maximum Entropy (MaxEnt) Model, which is a probabilistic model that assigns each candidate a probability rather than picking a single winner as in standard OT. The frequency data observed from those dictionaries were fed into MaxEnt to see if the learned grammar can successfully predict the observed frequency. The current simulation results show that the frequency found in the actual linguistic data corresponds to that predicted by the training corpus data, which indicates that the learned grammar is able to accurately reproduce the observed frequency. These findings suggest that MaxEnt modeling has a more explanatory power than classical OT analyses in that it can serve to account for grammars involving free variation.

### KEYWORDS

paradigm uniformity, American English flapping, optimality theory, Maximum Entropy

## 1. Introduction

The name of paradigm uniformity has been widely discussed in the phonological literature under the name of analogy (Eddington 2006, Kiparsky 1978), cyclic rules (Chomsky and Halle 1968, Withgott 1982), and output-to-output correspondence (Benua 1995, Burzio 1994, Davis 2003, 2005, Flemming 1995, Kenstowicz 1996, Steriade 1995, 1998, 2000). While the term has also been referred to as paradigm regularity or paradigm coherence, a paradigm represents a group of words that share a morpheme (e.g., *bear*, *bearing*, *unbearable*) or a group of phrases that share a word (e.g., *bear*, *to bear*); uniform paradigms are then the observation that morphemes tend to be invariant across the members of a paradigm even in phonologically unexpected contexts. Steriade (2000) states the condition of paradigm uniformity as follows:

(1) Paradigm uniformity (Steriade 2000: 313)

All surface realizations of  $\mu$ , where  $\mu$  is the morpheme shared by the members of paradigm  $x$ , must have identical values for property  $P$ .

A great number of studies have considered various linguistic phenomena with paradigm uniformity effects including affixation as in *æ*-tensing, cluster simplification, and primary stress placement, hypocoristic names, and flapping, where phonological identity is maximized between morphologically related outputs (Benua 1995, 1997a, b, Borowsky 1986, Burzio 1994, Davis 2003, 2005, Jensen 1987, 2000, Harris and Kaye 1990, Kahn 1980, Kaisse 1985, Kiparsky 1979, Nespors and Vogel 1986, Selkirk 1982, Steriade 2000, Withgott 1982). The main focus of the current research lies on what is called flapping shown in American English, which applies to an intervocalic /t/ when the preceding vowel is stressed. In words like *bottom*, for example, no aspiration is attested and the /t/ is usually flapped by American speakers. American English flapping has been extensively studied both phonologically (Borowsky 1986, Burzio 1994, Davis 2003, 2005, Gussenhoven 1986, Harris and Kaye 1990, Inouye 1989, Jensen 1987, 2000, Joos 1942, Kahn 1980, Kaisse 1985, Kiparsky 1979, Nespors and Vogel 1986, Selkirk 1982, Withgott 1982) and phonetically (Davis and Summers 1989, de Jong 1998, Fox and Terbeek 1977, Stone and Hamlet 1982, Umeda 1977, Zue and Lafferiere 1979). Phonological models have traditionally understood American English flapping as a phonological rule whereby intervocalic /t/ becomes a flap before an unstressed vowel. Kahn (1980) argued that an alveolar stop becomes a flap following a [-consonantal] segment and preceding an unstressed syllable, pointing out that although the following vowel is required to be unstressed, the absence or presence of stress on the preceding vowel is irrelevant in that flapping may occur following a stressed vowel as in *útter* or an unstressed vowel as in *obésity*. Several phonological accounts identified the occurrence of a flapped /t/ as the outcome of ambisyllabicity, i.e., alveolars that belong to both syllable-initial and syllable-final are flapped (Gussenhoven 1986, Kahn 1980); yet, others claimed that the ambisyllabic consonants are resyllabified to be the coda of the preceding syllable (Inouye 1989, Selkirk 1982). Selkirk (1982) stated that resyllabified consonants behave as though they were underlyingly syllable-final. Kiparsky (1979), on the other hand, presented a foot-based analysis with no reference to syllable structure in describing the context for the flapping rule; he proposed a foot-sensitive rule where flapping occurs in non-foot-initial position between [-consonantal] and [+syllabic] segments, e.g., *potato* p<sup>h</sup>ə(t<sup>h</sup>eyrow)<sub>F</sub> (Kiparsky 1979: 438).

Several phonetic studies examined the articulatory or acoustic elements of a flapped /t/. In a comprehensive study of a medial /t/ in American English, Zue and Lafferiere (1979) examined alveolar stops in six different contexts, i.e., (i) prestressed as in *retúrn*, (ii) nasal-released as in *sweeten*, (iii) flapped as in *râter*, (iv) unstressed as in *párity*, (v) post-nasal as in *tenting*, and (vi) post-lateral as in *molted* (Zue and Lafferiere 1979: 1040). Two of

those environments are relevant here producing a flapped /t/; the first context, which Zue and Lafferiére refer to as flapped environment, describes a context where the /t/ follows a stressed syllable and precedes an unstressed syllable as in words such as *ráter* and *fláttér*. In this environment, producing a flapped /t/ involves tongue tip to make brief contact with alveolar ridge followed by immediate release and results in various acoustic realizations including turbulence noise due to partial closure (Zue and Lafferiére 1979). They reported that the mean duration of flaps is 26 ms varying from 10 to 40 ms; a high probability was also reported that the underlying segment yielded one phonetic realization, i.e., 99% of /t/'s in the flapped environment were realized as [ɾ] (Zue and Lafferiére 1979: 1048). The second context, which they call unstressed environment, describes an environment where the /t/ falls between two unstressed syllables as in *páritý* and *complicítý*. They reported that in this case more than one surface realization was found, i.e., the /t/ was realized either as a fully released, aspirated [t<sup>h</sup>] (66%) or as a long flap (33%) with an average longer duration than flaps in the flapped environment (26 ms vs. 40 ms).

A number of studies have investigated this variability in the output of the flapping rule where flapping is considered as a gradient phonetic process of lenition rather than as a categorical one (de Jong 1998, Fujimura 1986, Macchi 1985, Stone and Hamlet 1982, Umeda 1977). Stone and Hamlet (1982), for example, examined reiterant productions of various sentences with syllables replaced by the CV /da/ and found that flapping is gradient since there were no apparent categorical differences between the conditions with stops and those with flaps and there was no strong modality in the articulatory posture exhibited. De Jong (1998) tested the plausibility of two flapping models, i.e., a traditional model as a categorical switch from stop to flap production in a specified linguistic environment and a model where flapping arises as a by-product of articulatory changes associated with the implementation of prosodic structure. While the latter model accommodates a great deal of data, as he acknowledges, neither the categorical rule nor the prosodic by-product account can alone explain his findings (de Jong 1998: 309).

While there is contention regarding whether flapping is phonological or phonetic, Withgott (1982) first highlighted and analyzed flapping suppression in specific positions, which has developed into the matter associated with paradigm uniformity effect. The dataset given in Table 1 shows that although the words *capitalistic* and *militaristic* have the same stress pattern and syllable structure, flapping and aspiration apply differently; that is, the /t/ is flapped in *capi[r]alistic* but aspirated in *mili[t<sup>h</sup>]aristic*. Withgott (1982) noted that despite the near-identical context the /t/ is unflapped in *militaristic* whereas it is flapped in *capitalistic*; the possible reason for this could be that while *capitalistic* is morphologically related to *cápi[r]al* where the /t/ is already flapped, the base form of *militaristic* bears stress on the syllable whose onset the /t/ is, i.e., *mili[t<sup>h</sup>]àry*, as shown in Table 1 below.

**Table 1. *capitalistic* vs. *militaristic***

Derivative		Base		Rule
<i>capitalistic</i>	[k <sup>h</sup> æ.pə.rə.lis.tɪk]	<i>capital</i>	[k <sup>h</sup> æ.pə.rəl]	Flapping
<i>militaristic</i>	[mɪ.lə.t <sup>h</sup> ə.ris.tɪk]	<i>military</i>	[mɪ.lə.t <sup>h</sup> ɛ.ri]	Aspiration

There is an ongoing debate in the literature concerning how to account for the data given in Table 1 where the underlying /t/'s of *capitalistic* and *militaristic* surface with the same realization as in their base forms (Ahmed et al. 2020, Davis 2003, 2005, Eddington 2006, Jensen 1987, 2000, Steriade 2000, Withgott 1982). Withgott (1982) argues that *capitalistic* begins with (*capi*)*tal* with refooting giving (*capital*)(*istic*), while we have (*mili*)(*tary*) and then (*mili*)*ta*(*ristic*), to which a separate adjunction rule applies providing the final representation (*mili*)(*ta*)(*ristic*), where the /t/ of the third syllable is foot-initial and should be aspirated. An alternative approach is Steriade's (2000) paradigm uniformity effect. She claims that the /t/ in *militaristic* is aspirated since the /t/ in its base form *military*

is aspirated; similarly, flapping in *capitalistic* is dependent upon flapping in *capital*. Davis (2005) also invokes paradigm uniformity effect for the *capitalistic* case, but he argues that aspiration in *militaristic* should be regarded as a regular phonological pattern found in words such as *Medi[t<sup>h</sup>]erranean* and *abra[k<sup>h</sup>]adabra*. In the meantime, several researchers reported variability with respect to the flapping/aspiration of the /t/; that is, the /t/ may be realized as both aspirated and flapped by speakers of American English. For instance, Riehl (2003) stated that her speakers tended to articulate and aspirate stops during the early portions of the recording session and flap later in the session. Kim (2009) also observed free variation within a single speaker's responses as well as between speakers. While a number of different frameworks have been used in the debate of *capitalistic* vs. *militaristic*, there is no clear-cut explanation for this variability issue. In particular, the majority of the models using the framework of the classical optimality theory (OT, Prince and Smolensky 1993) fail to account for variant forms due to the strict hierarchy of different constraint rankings. The current study attempts to capture the variants [t<sup>h</sup>] ~ [r] deploying the audio pronunciation listed in 11 different online dictionaries within the Maximum Entropy model (MaxEnt, Goldwater and Johnson 2003, Hayes and Wilson 2008, Smolensky 1986, Wilson 2006). Since there has been no study, to the best knowledge of the author, where Maxent modeling is employed in the discussion of American English flapping, the contribution of this research is that it finds and provides an additional dataset to help resolve the debate between *capitalistic* vs. *militaristic*.

## 2. Previous Studies

Withgott (1982) was the one who initiated the discussion about the contrast between flapped and aspirated /t/'s in the words *capitalistic* and *militaristic*. She stated that both aspiration and flapping are conditioned by stress and syllable structure; that is, /t/ may flap in a word when it is intervocalic and precedes a stressless syllable or when it follows a vowel within a foot, as in *I[r]aly*, *rhe[r]oric* and *capi[r]alistic*, as agreed by many (Kahn 1980, Kiparsky 1979, McCarthy 1982, Selkirk 1978, Stampe 1973). Withgott rejected, however, the cyclic explanation for the rules of aspiration and flapping; she claims that if it is assumed that the aspiration rule (i.e., /t/ is aspirated before a stressed vowel) is cyclic and that flapping is disjunctively ordered with aspiration, then the /t/ in *Italy*, for example, will not be aspirated where it fails to satisfy the structure description, yet it will be aspirated in *Italian* and when *Italian* further derives as in *Italianize*, it should show an aspirated /t/ (Withgott 1982: 161). Such predicted cyclicity is ruled out by the examples such as *sta[t<sup>h</sup>]istic ~ sta[r]istician*, where /t/ is aspirated in *statistic* due to the first rule (i.e., aspiration) but flapped in *statistician*, as shown in (2) below. Withgott argues, therefore, that all rules associated with aspiration and flapping are noncyclic in that aspiration does not feed flapping and vice versa.

(2) Aspiration and flapping (adapted from Withgott 1982: 161)

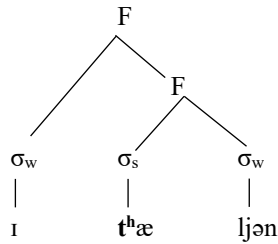
<i>Italian</i>	[ɪt <sup>h</sup> æljən]	<i>Italianize</i>	[ɪt <sup>h</sup> æljənaɪz]
<i>statistic</i>	[stæt <sup>h</sup> ɪstɪk]	<i>statistician</i>	[stæɪst <sup>h</sup> ɪʃən]
<i>autocracy</i>	[ɔt <sup>h</sup> æk <sup>h</sup> rəsi]	<i>autocratic</i>	[ɔræk <sup>h</sup> rætɪk]

Withgott (1982) proposes instead a foot-based aspiration rule where stops are aspirated when they begin with a stressed syllable of a foot. She considers four different foot structures in which the aspiration rule may apply to derived and nonderived words, as given in (3). The problem with this footing, however, is that the structure of nonderived words having foot-internal [t<sup>h</sup>] in a stressed syllable does not involve a foot-initial [t<sup>h</sup>]; hence, she has

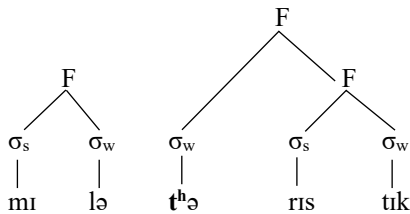
added an intervocalic /t/-aspiration rule where an intervocalic /t/ is aspirated foot-initially or before a prominent vowel. As we will discuss below, Davis (2005) proposes an alternative foot structure that can provide more consistent explanation for both aspiration and flapping.

(3) Foot structures where aspiration applies (adapted from Withgott 1982: 161-165)

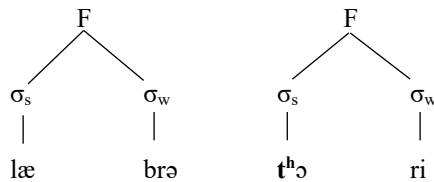
a. Derived word with [t<sup>h</sup>] in stressed syllable: ‘Italian’



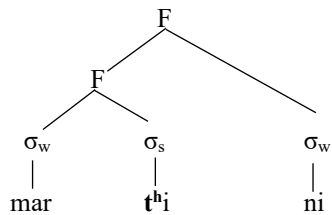
b. Derived word with foot-initial [t<sup>h</sup>]: ‘militaristic’



c. Nondrived word with foot-initial [t<sup>h</sup>]: ‘laboratory’



d. Nondrived word with foot-internal [t<sup>h</sup>] in stressed syllable: ‘martini’



As noted by Withgott (1982), the accentually parallel words *militaristic* [mɪlətʰərɪstɪk] and *capitalistic* [kʰæpərəlɪstɪk] show the near-identical contrast between aspirated and flapped stops. Although the third syllable is realized with the stressless nucleus [ə] in both words, its onset is aspirated in *militaristic* whereas it is flapped in *capitalistic*. Withgott mentions that the aspirated /t/ in *militaristic* corresponds to the aspirated /t/ in its base form *military* [mɪlətʰəri], while the flapped /t/ in *capitalistic* corresponds to the flapped /t/ in its base *capital* [kʰæpərəl] (Withgott 1982: 158). Steriade (2000) refers to this phenomenon as paradigm uniformity effect where the

underlying /t/'s surface with the same realization as in their base words; namely, the paradigm of {military, militaristic} becomes phonologically less variable once the /t/ is generalized to the stressless third syllable of the derivative word, which can serve to maintain the durational similarity between [t<sup>h</sup>ɛ] in *military* and [t<sup>h</sup>ə] in *militaristic*. Based on previous findings where a flap tends to be shorter than a stop (Zue and Lafferiére 1979, Banner-Inouye 1995), Steriade (2000) proposes a feature called [extra short closure], which is a non-categorical phonetic feature. She claims that a flapped /t/ possesses this non-contrastive feature in that the mean duration of [ɾ] is considerably shorter than [t<sup>h</sup>], i.e., 26 ms vs. 129 ms (Zue and Lafferiére 1979: 1042).

In order to test the hypothesis that the phonetic feature is subject to paradigm uniformity and that it should not be distinct from a phonological feature, she conducted an experiment where 12 speakers of American English were asked to read two lists of words. The first list contained five target words, i.e., *voluntary*, *positive*, *negative*, *primitive*, and *relative*. She expected some of the speakers to place secondary stress on the syllable following the /t/ and thereby produce [t<sup>h</sup>] and other speakers not to place stress on that syllable and produce [ɾ]. In her study, those five words were randomized with five other words, i.e., *fatal*, *fetish*, *totem*, *notary*, and *rotary*, where all speakers were predicted to flap because of the /t/ following a stressed syllable and preceding an unstressed syllable. The second list involved all ten of those words derived with the suffix *-istic*, whose outcome included several nonce forms such as *voluntaristic*, *primitivistic*, and *rotaristic*. Steriade expected aspiration or flapping to occur in the derivative forms according to the effect of paradigm uniformity, i.e., if a base form is produced as a form with [t<sup>h</sup>] due to a following syllable bearing secondary stress, then its derivative form will also be produced as a form with [t<sup>h</sup>] even in the absence of secondary stress on the following syllable; similarly, a speaker who produces [ɾ] in the base form is predicted to pronounce [ɾ] in its derivative form. When paradigm uniformity is moot, however, in monomorphemic words with the same stress pattern, the /t/ was expected to be generally flapped as in *mèri[r]ocrátic*, *pèri[r]onítis*, and *hèma[r]ogénésis* although she acknowledges an unexpected example like *Mèdi[t<sup>h</sup>]erránean*, which Davis (2005) later attempts to explain using a foot-based approach.

**Table 2. Results of Speaker Production** (adapted from Steriade 1996)

Base/Derivative pair	Speaker number			
	1, 2, 3, 4, 5, 6, 7	8, 9	10, 11	12
voluntary	t <sup>h</sup>	t <sup>h</sup>	t <sup>h</sup>	t <sup>h</sup>
voluntaristic	t <sup>h</sup>	t <sup>h</sup>	t <sup>h</sup>	t <sup>h</sup>
positive	t <sup>h</sup>	t <sup>h</sup>	ɾ	t <sup>h</sup>
positivistic	t <sup>h</sup>	t <sup>h</sup>	ɾ	t <sup>h</sup>
primitive	t <sup>h</sup>	ɾ	ɾ	ɾ
primitivistic	t <sup>h</sup>	ɾ	ɾ	t <sup>h</sup>
relative	t <sup>h</sup>	ɾ	ɾ	ɾ
relativistic	t <sup>h</sup>	ɾ	ɾ	ɾ
negative	t <sup>h</sup>	ɾ	ɾ	t <sup>h</sup>
negativistic	t <sup>h</sup>	ɾ	ɾ	t <sup>h</sup>

As summarized in Table 2, the results of Steriade's study overall support her claims of phonetic paradigm leveling even though there is a small amount of variation in her data. Eleven out of 12 speakers had identical allophones for every pair of base and derivative forms (cf. *primitive* vs. *primitivistic* by Speaker 12). Steriade (2000) contends that these results are the consequence of the relative ranking of two constraints, i.e., paradigm uniformity and reduction constraints; first, a constraint assuring paradigm uniformity in the case of categorical stress given in (4a) can be

broken down into a more specific constraint based on the individual phonetic correlates of stress like duration as given in (4b). The constraint shown in (4b) ensures that the duration of a stressed syllable in a base form is maintained in a corresponding derivative form (Steriade 2000: 321). This constraint is outranked by reduction constraint, which states that stressless vowels must be schwa. Since the duration of the vowel is restricted by reduction constraint, paradigm uniformity constraint can be satisfied only by maintaining uniformity in the duration of the /t/; that is, according to Steriade (1996, 2000), [t<sup>h</sup>] in *militaristic* and [ɾ] in *capitalistic* are attributed to Paradigm Uniformity (Stress: Duration), where they are partial preservation of the stress carried by the syllables in their base forms as shown in the tableau given in (4c). Reduction constraint outranks paradigm uniformity constraint, which in turn outranks flapping constraint. As shown in the tableau, the third candidate for *militaristic* violates the highest ranked constraint, and the remaining two candidates differ in flapping of the third syllable; the first candidate is durationally closer to *military* than the second candidate, and hence it is chosen as an optimal output (Steriade 2000: 326).

(4) Paradigm Uniformity constraints and constraint interaction in *capitalistic* and *militaristic*

a. Paradigm Uniformity (Stress) (Steriade 2000: 319)

Let F be a form exhaustively analyzable into the constituents A(F), an affix, and S(F), a stem. If a realization of S(F) occurs as a free word W, then for every syllable  $\sigma$  in S(F), if  $\sigma$  has a correspondent  $\sigma'$  in W, then  $\sigma$  has the same stress category (stressed or stressless) as  $\sigma'$ .

b. Paradigm Uniformity (Stress: Duration) (Steriade 2000: 321)

If two strings,  $\Sigma$  and  $\Sigma'$ , stand in correspondence and if  $\Sigma$  is a stressed syllable, then  $\Sigma$  and  $\Sigma'$  are durationally equivalent.

c. Constraint interaction in *capitalistic* and *militaristic* (adapted from Steriade 2000: 326)

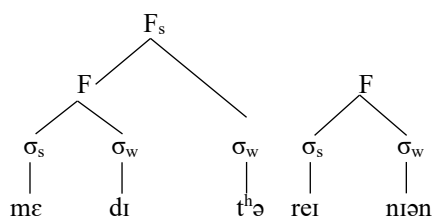
Base: mɪlət <sup>h</sup> ɛrɪ	Reduction	Paradigm Uniformity	Flapping
→ a. mɪlət <sup>h</sup> ɛrɪstɪk		*	*
b. mɪləɾɛrɪstɪk		**!	
c. mɪlət <sup>h</sup> ɛrɪstɪk	*!		*
Base: k <sup>h</sup> æpəɾəl			
→ a. k <sup>h</sup> æpəɾəlɪstɪk			
b. k <sup>h</sup> æpət <sup>h</sup> əlɪstɪk		*!	*

Steriade (2000) has become sufficiently influential that there have been a number of discussions on her claims about paradigm uniformity effect in American English flapping (Davis 2003, 2005, Eddington 2006, Riehl 2003); among those, it is worthwhile mentioning Davis (2005) here since he argues for a different view than Steriade's (2000) of paradigm uniformity in terms of the distinction between *capitalistic* and *militaristic*. Davis asserts that the aspiration of the /t/ in *militaristic* reflects a general pattern in American English, which does not require a paradigm uniformity effect. He cites the metrically comparable monomorphemic words such as *Mèditerráanean* and *Nàvratilóva*, which also possess an aspirated /t/ at the beginning of the third syllable. As briefly discussed above, Steriade (2000) stated that the specific example *Mediterranean* is simply an exception since the aspiration of this word is influenced by the orthographic geminate inducing a sort of illusory secondary stress (Steriade 2000: 334); yet, there are still many other unresolved examples such as *Navra[t<sup>h</sup>]ilova*, *lolla[p<sup>h</sup>]alooza*, and

*abra[kʰ]adabra*, which she did not appear to be aware of.<sup>1</sup>

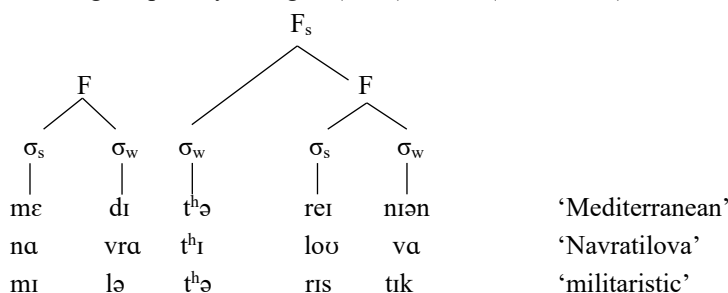
Davis (2005) considers the foot structure of the words with dactylic sequences that Steriade (2000) viewed as a mere exception (i.e., *Mediterranean*). There have been different proposals concerning such words since early metrical phonology (Davis 2005, Davis and Cho 2003, Hayes 1981, Jensen 2000, McCarthy 1982, Pater 2000). First, in the foot structure given in (5), the initial dactylic sequence comprises a superfoot, which reflects the analysis of English found in Hayes (1981) and McCarthy (1982), where a superfoot consists of a binary foot followed by an adjoined single syllable. As Davis (2005) points out, the issue with this structure is that there is no clear motivation for the fact that the /t/ would be aspirated at the beginning of the third syllable because it is not in the foot-initial position.

(5) Foot structure of *Mediterranean* suggested by Hayes (1981) and McCarthy (1982)



An alternative foot structure that Davis (2005) argues for is shown in (6), where although this also involves a superfoot, this differs from the structure given in (5) in that the third syllable of the initial dactylic sequence is adjoined as the first syllable of a superfoot and it forms as constituent with what follows. This foot structure was originally proposed by Withgott (1982) and has been adopted by many researchers including Davis (2003, 2005), Davis and Cho (2003), Jensen (2000), and Pater (2000). As Davis (2005) points out, the advantage of this structure is that it can lead to a unified statement about American English aspiration that voiceless stops are aspirated in the foot-initial position, and hence, paradigm uniformity does not appear to have a role in the word *militaristic*, as shown in (6). The /t/ of the third syllable is aspirated as expected insofar as it is foot-initial, i.e., at the beginning of the superfoot. Therefore, Davis maintains that *militaristic* does not display a paradigm regularity effect with *military* involving the non-contrastive phonetic property of closure duration, as argued by Steriade (2000); instead that *militaristic* displays the same phonological patterning of the similarly shaped monomorphemic words like *Mediterranean* and *Navratilova*, as shown in (6) below.

(6) Alternative footing adopted by Withgott (1982), Davis (2003, 2005), and among others

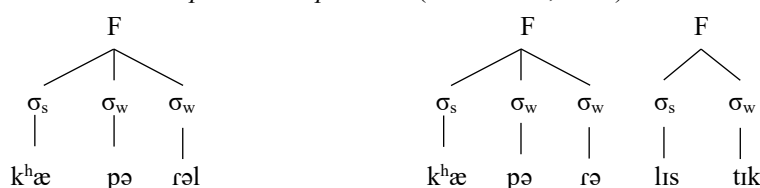


<sup>1</sup> As an anonymous reviewer pointed out, *Mediterranean* might be morphologically related to *terrain* whose bound allomorph would be *terran*, and *Navratilova* and *lollapalooza* could also have a paradigmatic relationship with their allegedly base words, *Tilova* and *palooza*. There are still however cases such as *abracadabra* where paradigm uniformity can hardly be applied.



Davis (2005) claims that while the aspirated nature of the /t/ in *militaristic* does not reflect paradigm uniformity, the flapped /t/ in *capitalistic* can be accounted for by paradigm uniformity with *capital*; yet, the difference with Steriade’s (2000) is that the uniformity effect involves uniformity of foot structure with *capital* instead of the non-contrastive phonetic feature, [extra short closure], proposed by Steriade. This footing should be contrasted with the superfoot type in the case of *militaristic* because the third syllable of the dactylic sequence is word-final in *capital* and there is no following foot where it can adjoin. That is, according to Davis (2005), the flapping of the /t/ in *capitalistic* is a consequence of the uniformity of foot structure with *capital*, as given in (7).

(7) Foot structures of *capital* and *capitalistic* (Davis 2003, 2005)



However, Kim (2009) argues against the view that the third syllable in *Mediterranean* forms the beginning of a superfoot with what follows, as suggested in the structure shown in (6). Kim conducted what he called an impressionistic experiment where six English speakers read a list of monomorphemic English words with a dactylic sequence preceding primary stress, including actual words and pseudowords. For the actual monomorphemic words such as *Mediterranean* and *Navratilova*, all three speakers who read those items tended to contain an aspirated stop rather than a flap; on the other hand, for the nonce items like *Winnetegosis*, *Luxitalila*, and *Tamatagouchi*, a considerable amount of variability was observed within a single speaker’s responses as well as between speakers, as summarized in Table 3.

**Table 3. Results of Speaker Production** (adapted from Kim 2009)

Items	Speaker number					
	1	2	3	4	5	6
Mèditerráneau	t <sup>h</sup>	t <sup>h</sup>	t <sup>h</sup>			
Nàvratilóva	t <sup>h</sup>	t <sup>h</sup>	t <sup>h</sup>			
Winnetegósis				t <sup>h</sup>	t <sup>h</sup> /ɾ	t <sup>h</sup> /ɾ
Wápatonéta				t <sup>h</sup>	t <sup>h</sup> /ɾ	t <sup>h</sup> /ɾ
Lùxitalíla				t <sup>h</sup> /ɾ	ɾ	t <sup>h</sup> /ɾ
dèlitércússion				t <sup>h</sup> /ɾ	ɾ	t <sup>h</sup> /ɾ
pèritapétic				t <sup>h</sup>	ɾ	t <sup>h</sup> /ɾ
Tàmatagóuchi				t <sup>h</sup>	ɾ	t <sup>h</sup> /ɾ

Based on the findings that the onset of the third syllable in the words used in the production task can be realized as both aspirated and flapped by English speakers, Kim (2009) makes a strong argument that the concept of a superfoot is simply illusory. Similarly, Riehl (2003) reported variability in her study where she replicated Steriade’s experiment with the modification that her participants repeated each of the base and derivative forms 12 times rather than just once. She assumed that paradigm uniformity would be supported only if all 12 repetitions of a base and its derivative form are pronounced with a flapped /t/ or only if all of them are produced with an

aspirated /t/. As discussed above, in Steriade's (2000) study a small amount of variability was encountered within a single speaker's responses, i.e., *primi[r]ive* vs. *primi[t<sup>h</sup>]ivistic*; Riehl takes this inter-speaker variation as a seeming refutation of paradigm uniformity. Riehl mentions that Steriade failed to clarify how variation in pronunciation would fit into paradigm uniformity.

**Table 4. Results of Speaker Production** (adapted from Riehl 2003: 296)

Base/Derivative pair	Speaker number					
	1	2	3	4	5	6
positive/positivistic			r			r
primitive/primitivistic						r
relative/relativistic	t <sup>h</sup>		t <sup>h</sup>			t <sup>h</sup>
negative/negativistic			r			

*Note.* Empty cells indicate no case of paradigm uniformity.

As summarized in Table 4, results reported in Riehl's study showed that uniform paradigms including near-uniform ones were observed in seven cases from three speakers; that is, Speakers 1, 3 and 6 produced an aspirated /t/ in the *relative/relativistic* pair every single time, and Speakers 3 and 6 had near-uniform paradigms pronouncing a flapped /t/ more than 10 out of 12 times in *positive/positivistic*, *primitive/primitivistic*, and *negative/negativistic* pairs. As Eddington (2006) points out, however, Riehl's data may support paradigm uniformity if statistical tendencies are considered rather than an all-or-nothing interpretation. According to a correlation test conducted by Eddington, the number of times each speaker used a flapped /t/ in a base form was correlated with the number of times a flapped /t/ occurred in a derivative form, i.e.,  $r = 0.748$  (large effect size),  $p < 0.001$  (Eddington 2006: 3). This indicates that the more frequently the /t/ was flapped in the base form, the more often the /t/ was flapped in the derivative form and vice versa. In addition, an important issue that Riehl has brought up is that it may not be easy for paradigm uniformity to account for the variation found in the data. Her findings revealed that speakers had a tendency to articulate a stop (i.e., produce an aspirated /t/) during the early portions of the recording session and a flap during the later portions. She supposes that her speakers were possibly more likely to feel uncomfortable and want to slow down and enunciate carefully in the beginning of the recording period, considering the fact that the flapping rule occurs in informal, fast speech. She also mentions in her study there was a close relationship between speech rate and the tendency for the /t/ to be flapped; speech rate was more likely to accelerate as the repetitions proceeded for the majority of the speakers, and by the second half of the recordings when they probably felt familiar with the wordlist of the task, they were more likely to speak casually and fast.

### 3. Prosodically-Based Account

As mentioned earlier in the preceding section, Kim (2009) strongly doubts that a superfoot can exist as a prosodic unit and that a foot can be the boundary of aspiration/flapping, based on the findings on variant forms shown in his study. Davis (2010), however, provides substantial evidence for the foot on the basis of the distribution of certain sounds found in American English. He argues for the foot-based distribution of aspirated stops and the occurrence of the phoneme /h/ in American English as well as the distribution of the phoneme /t/ in non-rhotic dialects of English spoken in the Southern United States. He further contends that child acquisition data support the role of the foot in American English.

First, the environments where voiceless stops are aspirated in American English have been discussed above in the previous section: they are aspirated in foot-initial position; elsewhere, they are not aspirated. For example, the intervocalic /t/ is flapped in (*sáni*[r]y)<sub>F</sub> while the target stop is aspirated in (*Mèdi*)<sub>F</sub>{[t<sup>h</sup>]e(*rránean*)<sub>F</sub>}<sub>F3</sub> (Davis 2003: 281). The distribution of the phoneme /h/ also closely parallels that of aspirated stops in that /h/ surfaces in the same positions in which aspiration surfaces on voiceless stops. That is, /h/ is realized in foot-initial position and it does not occur elsewhere. For instance, the /h/ is pronounced at the beginning of the foot-initial syllable as in *pro*([h]i**bit**)<sub>F</sub>, whereas the /h/ is not pronounced when it is in a foot-internal position as in (*prò*[Ø]i)(*bítion*)<sub>F</sub> (Davis and Cho 2003: 613). Given that aspiration and /h/ can both be represented by the phonological feature [spread glottis], Davis (2010) follows Davis and Cho (2003) in maintaining that [spread glottis] is only realized foot-initially in American English. Similarly, a foot-based distribution also relates to Harris's (2013) observation of non-rhotic dialects of southern American English where /r/ surfaces predominantly in foot-initial position, e.g., *te*([r]áin)<sub>F</sub> vs. (*shé*[Ø]iff)<sub>F</sub> (Harris 2013: 365). Additional distribution phenomena in dialectal American English that make important reference to foot structure include Canadian raising (Chambers 1973), devoicing of obstruents in Pennsylvania Dutchified English (Anderson 2011), and the occurrence of dark/light /l/ in various varieties of American English (Sproat and Fujimura 1993).

Crucially, Davis (2010) provides a foot-based analysis of L1 American English acquisition data on lateral gliding and velar fronting presented in Inkelas and Rose (2007), where a child referred to as E is acquiring American English as his first language from the time he was two years of age until he was four. Inkelas and Rose (2007) focus on two processes E displays in his language acquisition. First, with reference to the first process, velar fronting, the velar consonant is fronted with a coronal realization in foot-initial position while it is realized as an appropriate target in other positions, as shown in (8) below. Positional lateral gliding given in (9) also shows foot-based distribution in the child's language, i.e., the coronal glide [j] occurs when the target lateral is in foot-initial position whereas the labiovelar glide [w] occurs when the target lateral is in other positions. Although Sugahara and Turk (2009) questioned the foot-based account of truncated words in early acquisition suggesting that unstressed initial syllables are less perceptually salient than unstressed final syllables, the acquisition data given in (8) and (9) indicate that children acquiring American English as their first language are already sensitive to the foot before the age of three.

(8) Velar fronting (adapted from Inkelas and Rose 2007: 710-711)

a. /k, g/ → [t, d] in foot-initial position

[t <sup>h</sup> ʌp]	'cup'
[dɔ:]	'go'
[əðín]	'again'
[háwət <sup>h</sup> lækə]	'helicopter'
[áwədèrə]	'alligator'
[tʌndáktə]	'conductor'

b. /k, g/ → [k, g] elsewhere

[bɪg]	'big'
[bɒk <sup>h</sup> ]	'book'
[áktəpʊs]	'octopus'
[máŋki]	'monkey'
[béjgu]	'bagel'

## (9) Positional lateral gliding (adapted from Inkelas and Rose 2007: 712-713)

## a. /l/ → [j] in foot-initial position

[jæmp]	‘lamp’
[vajəjɪn]	‘violin’
[hajów]	‘hello’
[mædəjájɪn]	‘Madeline’
[jiván]	‘Livan’

## b. /l/ → [w] elsewhere

[hæwət <sup>h</sup> lækə]	‘helicopter’
[əwədèrə]	‘alligator’
[nékwəs]	‘necklace’
[stèwəjú <sup>w</sup> nə]	‘Stellaluna’
[fèw]	‘fell’

While Davis (2003, 2005, 2010) provided strong evidence for the foot, on the basis of the distribution of aspirated/flapped stops in American English, there have been other explanations that are not prosodically-based, including the contrast enhancement approach (Flemming 1995) and cue-based approach (Steriade 2000, Blevins 2003). However, these perspectives fail to distinguish between the two instances of /t/ in the words *capitalistic* and *militaristic* because in both words the /t/ occurs in identical segmental environments. Moreover, a resyllabification based analysis of aspiration (Selkirk 1982) has similar difficulties explaining the difference between the two. That is, in *capitalistic* the onset of the third syllable between two stressless vowels would have to be resyllabified into the preceding coda in order to account for the lack of aspiration; yet, the voiceless stop at the beginning of the third syllable cannot be resyllabified in the identical environment of *militaristic*. On the other hand, the prosodic foot-based approach can explain the difference in the two words; aspiration occurs in *militaristic* since the /t/ is foot-initial in that word, while flapping occurs in *capitalistic* while the /t/ is foot-internal, i.e., *(mili){ta(ristic)}* vs. *(capita)(listic)*.

The present study basically follows the foot-based prosodic approach since the difference between *capitalistic* and *militaristic* is problematic for any account that does not reference the foot, and this research attempts to provide an explanation for the variant realizations of the two words using the Maximum Entropy (MaxEnt) model (Goldwater and Johnson 2003, Hayes and Wilson 2008, Smolensky 1986, Wilson 2006). Optimality theory (OT, Prince and Smolensky 1993) should be noted in order to introduce the MaxEnt grammar tool. OT has been considered a successful linguistic theory because it may account for how learners acquire the language-specific knowledge required by the theory and it has algorithms for learning constraint rankings (Prince and Tesar 1999, Pulleyblank and Turkel 1996, Tesar and Smolensky 1993). Most existing OT learning algorithms, however, have major issues; because of their single constraint ranking, they fail to model grammars involving free variation, where a single input form has more than one output form. There have been a great variety of attempts to adapt the OT model in order to explain free variation including floating constraint (Nagy and Reynolds 1997), free ranking of constraints within strata (Anttila 1997) and strictness bands (Hayes 2000). One of the most successful models is the probabilistic model proposed by Boersma (1997) and its associated learning algorithm, the Gradual Learning Algorithm (GLA). By stepping away from the discrete domain of standard OT, the GLA can learn from noisy input and accurately reproduce grammar with free variation. As Keller and Asudeh (2002) pointed out, however, the GLA cannot explain cumulativity effects. Although Linear OT (Keller 2000) is designed to explain cumulativity effects, it does not learn from actual linguistic data but only from acceptability judgment data.

MaxEnt is a different OT-inspired model of constraint-based phonology; like the GLA, this model is probabilistic making it resistant to noise and it reproduces the distribution of output forms in a training corpus modeling free variation. MaxEnt is also similar to Linear OT in that it treats constraints as additive and explains cumulativity effects. In practice, this model is a very general statistical model that has been used in a wide range of fields including computational linguistics. MaxEnt models involve logistic regression models (Berkson 1944), exponential models (Gebraeel et al. 2005), Boltzmann networks (Smolensky 1986), Harmonic grammars (Legendre et al. 1990), probabilistic context free grammars (Chomsky 1956), and Hidden Markov Models (Hopcroft and Ullman 1979). MaxEnt was initially motivated by information theory (Jaynes 1957) that is designed to include as much information as is known from the data while making no additional assumptions; namely, it has as high an entropy as possible under the constraint that they match the training data.

MaxEnt assumes a set of choices, e.g., the candidate set GEN of OT. As a probabilistic model, MaxEnt assigns each candidate a probability rather than picking a single winner as in classical OT. Constraints in MaxEnt are not ranked as in OT but given numerical weights, and constraints with higher weights are considered stronger. These weights are employed in the core MaxEnt formula given in (10), which takes as input the set of constraints, constraint weights, candidates and constraint violations and outputs a probability  $Pr(x)$  for each candidate  $x$ . In order to calculate this probability, the things given in Table 5 should be done in order.

(10) The MaxEnt formula (adapted from Goldwater and Johnson 2003)

$$Pr(x) = \frac{\exp(-\sum_i w_i f_i(x))}{Z}, \text{ where } Z = \sum_j \exp(-\sum_i w_i f_i(x_j))$$

**Table 5. The MaxEnt Calculations for a Given Candidate  $x$**   
(adapted from Goldwater and Johnson 2003, Smolensky 1986, Wilson 2014)

Order	Compute this	Name of what is computed	How and why it is computed
1	$\sum_i w_i f_i(x)$	Harmony	Multiply $x$ 's violation counts for each constraint (designated $f_i(x_j)$ ) by the weight of the constraint ( $w_i$ ), and then add up the results across all constraints ( $\sum_i$ ). All available evidence (i.e., constraint violations) bearing on a candidate is considered, in proportion to the constraint weights.
2	$\exp(-\sum_i w_i f_i(x))$	eHarmony	Negate the harmony of $x$ , and then compute the function $\exp()$ on the results, where $\exp(x)$ is a typographic convenience for $e^x$ , $e \approx 2.72$ . In a series of candidates with greater harmony penalties, the probabilities descend not in linear fashion, but instead asymptote to zero (negative exponential curve).
3	$\sum_j \exp(-\sum_i w_i f_i(x_j))$	$Z$ , the 'normalizing constant'	Compute the eHarmony of every candidate derived from the same input as $x$ and sum these values.
4	$\frac{\exp(-\sum_i w_i f_i(x))}{Z}$	Probability of $x$	Divide the eHarmony of $x$ by $Z$ . The probability of a candidate depends inversely on the probability of the candidates with which it competes.

The current study investigated the pronunciation of the two words under examination, *capitalistic* and *militaristic*, using the audio pronunciation listed in eleven different online dictionaries including Oxford English Dictionary, Merriam Webster, American Heritage, the CMU Pronouncing Dictionary, Cambridge Dictionary, Collins English

Dictionary, Longman Dictionary of Contemporary English, Dictionary.com, The Free Dictionary, YouGlish, and Educalingo. The frequency data observed from those dictionaries were fed into the MaxEnt model to see if the learned grammar can successfully predict the observed frequency. Maxent Grammar Tool (Wilson 2006) was employed in this study to compute weights for constraint-based maxent grammars. This is a useful tool for linguists since you can make up a grammar consisting of constraints and train it using the program to match a corpus of data. You can easily feed the program using an input file that contains underlying representations, rival surface representations for each UR, specification of winners, a list of constraints, and the number of violations of each constraint for each candidate. Then, the program computes and writes to an output file a set of maxent weights for a maxent grammar and the predicted probabilities that the grammar assigns to each candidate when using these weights.

Constraints given in (11a-c) are needed to analyze the difference in *capitalistic* vs. *militaristic* and their predicted weights are as shown in (11d) following the constraint ranking suggested in Davis (2005). Given that the final two syllables in both *militaristic* and *capitalistic* consist of a trochaic foot, if the foot structure of *militaristic* were preserved in *militaristic*, there would be a stress clash, i.e., \**militaristic*. This constraint against head clash is expected to have higher weights than a constraint on foot identity, which demands the uniform foot structure between input and output forms. \*CLASHHEAD prevents the third syllable of *militaristic* from surfacing with stress, and it is predicted that *militaristic* reflects the regular footing constraints for English such as FOOTBINARITY. On the other hand, the foot structure of *capitalistic* may be maintained when the final two syllables of *capitalistic* consist of a trochaic foot. That is, there is no head clash in *capitalistic* where the foot identity constraint can be respected. \*CLASHHEAD and IDENT-FOOT are expected to have higher weights than FOOTBINARITY since there is no overriding constraint that would compel the third syllable of *capitalistic* to be refooted with the syllables that follow in violation of IDENT-FOOT.

(11) Constraints necessary for the analysis of *capitalistic* vs. *militaristic*

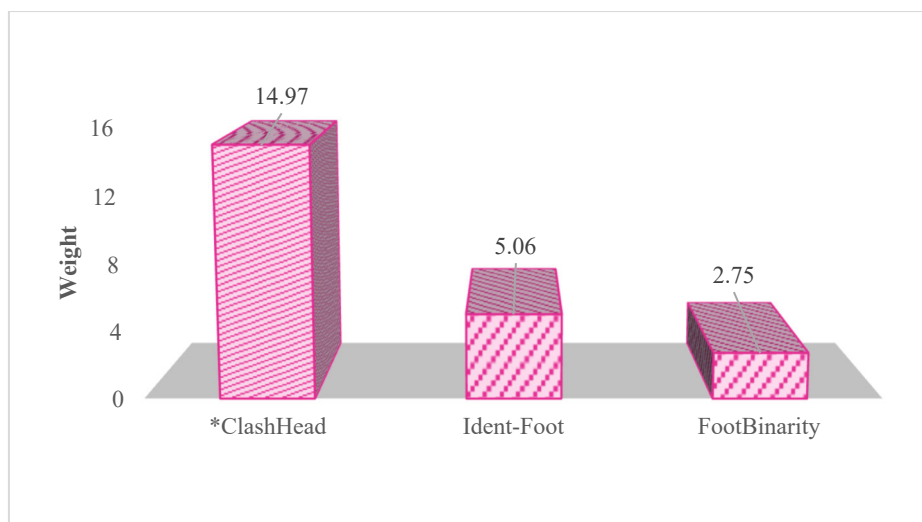
- a. \*CLASHHEAD (Pater 2000)  
No stressed syllable has to be adjacent to the head syllable of the prosodic word.
- b. IDENT-FOOT (adapted from Pater 2000 and Davis 2005)  
The foot head of an input has to correspond to that of an output.
- c. FOOTBINARITY (Prince and Smolensky 1993)  
A foot has to be minimally bimoraic.
- d. Predicted weight: \*CLASHHEAD > IDENT-FOOT > FOOTBINARITY

With these constraints, learning simulation was conducted using MaxEnt as given in Table 6 where no constraint is assumed to be in a strict domination hierarchy. As shown in Figure 1, constraint weights obtained by the learned grammar are quite similar to the relative weights predicted for each constraint given in (11d). As expected, \*CLASHHEAD had the highest weights, which means that this constraint is more important in relation to IDENT-FOOT and FOOTBINARITY with relatively lower weights. Moreover, given that MaxEnt is a probabilistic model, the violation of the most powerful constraint in the learned grammar can lessen the probability that an output candidate such as (*càpi*)(*tʰà*)(*listic*) or (*mìli*)(*tʰà*)(*ristic*) could be realized as an actual linguistic form. As displayed in Figures 2 and 3, simulation results of *capitalistic* and *militaristic* show that the observed frequency corresponds exactly to the predicted frequency, which suggests that the learned grammar is able to accurately reproduce actual variant forms in a similar way to the training corpus data. That is, it turned out that the learned grammar predicted the pronunciation of the third syllable of the two words with the same frequency as the actual observation. MaxEnt

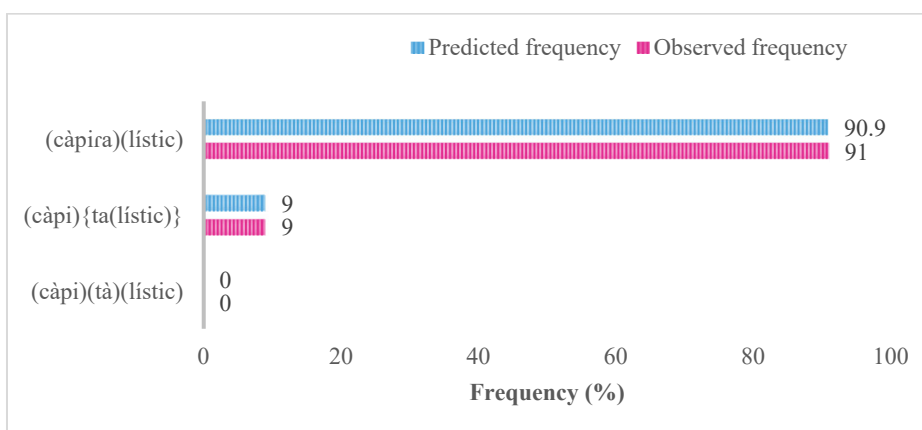
modeling captured the fact that each variant form had different output frequency since the relevant constraints possessed their own weights. This result indicates that MaxEnt is better in explaining variability issue than standard OT approaches that fail to model grammars involving free variation due to their single constraint ranking.

**Table 6. Learning Simulation through MaxEnt**

Input	Output	Observed frequency (%)	*CLASHHEAD	IDENT-FOOT	FTBIN
(cápital)	(càpi)(t <sup>h</sup> à)(lístic)	0	1	1	1
	(càpi){t <sup>h</sup> a(lístic)}	9		1	
	(càpira)(lístic)	91			1
(míli)(táry)	(míli)(t <sup>h</sup> à)(rístic)	0	1		1
	(míli){t <sup>h</sup> a(rístic)}	94		1	
	(mílira)(rístic)	6		1	1



**Figure 1. Weights Obtained for the Constraints of the Learned Grammar**



**Figure 2. Simulation Results of capitalistic**

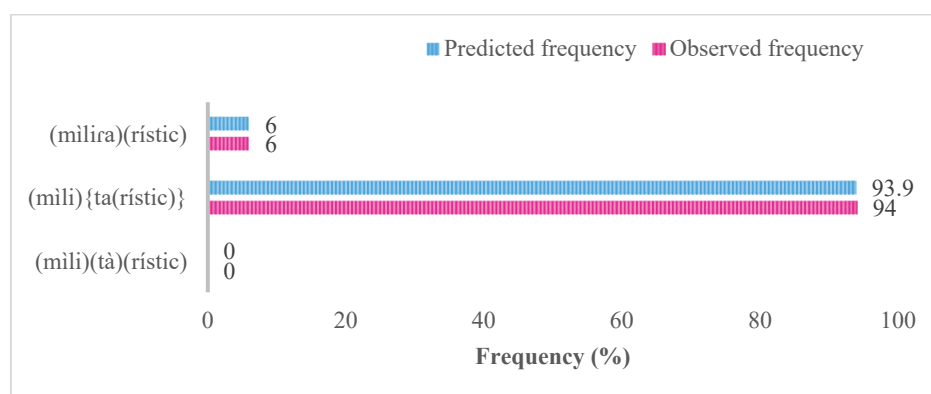


Figure 3. Simulation Results of *militaristic*

#### 4. Conclusion

The present study examined the data where the underlying /t/'s of *capitalistic* and *militaristic* surface with the same realization as in their base forms *capital* and *military*, which is widely known as the phenomenon of paradigm uniformity. While a great number of studies have considered several different approaches in this discussion including foot-based analyses, OT accounts, paradigm leveling, and analogy, there has been no research that examines a possible output form involving both aspiration and flapping. This study seeks to capture the variant realizations in the third syllables of the two words deploying 11 different online dictionaries within the MaxEnt model. Current simulation results show that the frequency observed in the actual linguistic data corresponds to that predicted by the training corpus data, and this indicates that the learned grammar is able to accurately reproduce the observed frequency. These findings suggest that MaxEnt has a more explanatory power than classical OT accounts since it can serve to explain grammars involving free variation.

Lexical frequency is another issue related to the debate of *capitalistic* vs. *militaristic* that the current study did not consider. Patterson and Connine (2001) reported that there was a difference in the probability of occurrence of flaps in a medial position as a function of the lexical frequency of the carrier words used in their study, i.e., its probability was smaller for the lower frequency words compared to that of high frequency words, i.e., 76% vs. 95% (Patterson and Connine 2001: 264). However, their findings are not line with the frequency information of the two words examined in the current study in that *militaristic* had the frequency of 4,016 (rank: 31,701) while *capitalistic* failed to be in the top 60,000 word list, according to the iWeb corpus.<sup>2</sup> This corpus analysis could suggest that frequency is not everything since the third syllable of *militaristic* is unflapped even though *capitalistic* is less frequently used than *militaristic*. Future research will focus on this possibility that other variant patterns can be interrelated with lexical frequency by particularly considering Korean loanwords adapted from English.

<sup>2</sup> The iWeb corpus (Davies 2018) is one of the BYU corpora, which is the world's most widely-used corpora. This corpus involves nearly 95,000 websites that include an average of 240 web pages and 145,000 words each, which contains 14 billion words in size. This makes about 25 times as big as Corpus of Contemporary American English (560 million words) and about 140 times as big as the British National Corpus (100 million words).



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Examples in: English  
Applicable Languages: English  
Applicable Level: Tertiary